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January 20, 2021

MEMO ENDORSED

VIA ECF

The Honorable Ona T. Wang Daniel Patrick Moynihan United States Courthouse Courtroom 20D 500 Pearl Street New York, New York 10007-1312

RE: Johnson v. National Securities Corp. et al., 1:19-cv-06197-LTS-OTW

Dear Judge Wang:

Pursuant to Your Honor's order at the telephonic initial case management conference on June 3, 2020, the Parties jointly advise the Court that they have reached an agreement on monetary terms and anticipate agreement on non-monetary terms, thereby resolving the above-captioned action.

The Parties will continue filing joint status reports per Your Honor's order until they finalize their settlement and Plaintiff files a stipulation of discontinuance with this Court.

Respectfully submitted,

In light of the progress of settlement efforts, the pending motion to dismiss the complaint (DE# 78) is terminated without prejudice to restoration if settlement efforts are unsuccessful. SO ORDERED.

1/21/2021
/s/ Laura Taylor Swain, USDJ

/s/ Ryan J. Whalen
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cc: All counsel of record (via ECF)